Applicants: Serial No.:

Filed: Title:

Ellington M. Beavers et al 09/118,730

July 17, 1998

METHOD OF MAKING FREE ACIDS FROM

POLYSACCHARIDE SALTS

281-28

File No.:

VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS BY SMALL BUSINESS CONCERN

I hereby declare that I am official empowered to act on behalf of the small business concern identified below:

Name of Concern: Biocoat Incorporated

Address of Concern: 455 Pennsylvania Avenue, Suite 120

Fort Washington, Pennsylvania 19034

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year—of—the—concern—of—the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled METHOD OF MAKING FREE ACIDS FROM POLY-SACCHARIDE SALTS, by inventors Ellington M. Beavers, Djoerd Hoekstra, Yee San Su, and Nicole Willard, described in application Serial No. 09/118,730, filed July 17, 1998.

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Name: None

Address:

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Name of Person Signing: Djoerd Hoekstra

Title of Person Other Than Owner: President

Address of Person Signing: 455 Pennsylvania Avenue, Suite 120,

Fort Washington, PA 19034

Signature: X prind Hochita

Date: X 9/16/98



DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name,

I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled METHOD OF MAKING FREE ACIDS FROM POLYSACCHARIDE SALTS, the specification of which was filed on July 17, 1998 as Application Serial No. 09/118,730.

 $\int I$ hereby state that I have reviewed and understand the contents of the above identified application, including the claims.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim the benefit under Title 35, United States Code, §120 of the United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35,—United-States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

08/781,308 Serial No.

<u>January 15, 1997</u> Filing Date

Status(patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: As a named inventor, I hereby appoint William H. Eilberg, Registration No. 28,009, as my attorney to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. Please send correspondence to William H. Eilberg, Esq., 820 Homestead Road, P.O. Box 7, Jenkintown, Pennsylvania 19046. Please direct telephone calls to 215-885-4600.

Name of first joint inventor: Ellington M. Beavers

Inventor's signature: 🗶 🕻

Residence: Meadowbrook, Pennsylvania

Citizenship: United States

Post Office Address: 931 Coates Road, Meadowbrook, Pennsylvania 19046

-Name of second joint inventor: Djoerd Hoekstra

Inventor's signature: x h poul block to

Date: $\times 6/18/98$

Residence: Malvern, Pennsylvania

Citizenship: United States

Post Office Address: 743 Monument Road, Malvern, Pennsylvania 19355

Name of third joint inventor: Yee San Su

Inventor's signature: X lee - A

Date: X 9/28/98

Residence: Fort Washington, Pennsylvania

Citizenship: United States

Post Office Address: 1213 Boden Place, Fort Washington, Pennsylvania 19034



Name of fourth joint inventor: Nicole Willard

Inventor's signature: x Nicole Willand

Date: x 9-21-98

Residence: Collegeville, Pennsylvania

Citizenship: United States

Post Office Address: 3870 Mill Road, Collegeville, Pennsylvania 19426